

# Lower Bounds

Note Title

9/29/2019

## § 11. Lower Bounds

1] Def<sup>n</sup>. The lower bound of a problem  $\pi$  is the lower bound on the complexity of all possible algorithms that solve  $\pi$ .

2] Def<sup>n</sup>. efficient algorithm: is the one that has the lowest time/space complexity (usually polynomial with small power eg.  $O(n \log n)$ )

3] Note:

Optimal algorithm: its complexity  $\equiv$  lower bound of the problem

4] Lower bounds :  $\rightarrow$  trivial : by simple argument  
 $\rightarrow$  sophisticated : by computational model.

Examples of trivial lower bounds :

- 1) Find the max int in a list.  $\rightarrow \Omega(n)$
- 2) Multiply 2  $(n \times n)$  matrices  $\rightarrow \Omega(n^2)$
- 3) Find an element that is neither max nor min  $\rightarrow \Omega(1)$

## 5] Decision Tree Model.

e.g. Search Problem : Search for  $x$

Alg. Linear search

Alg. Binary search (sorted list)

Decision tree (T) for searching:

1. each node in the tree is a decision

2. Test:  $key = x$  ?

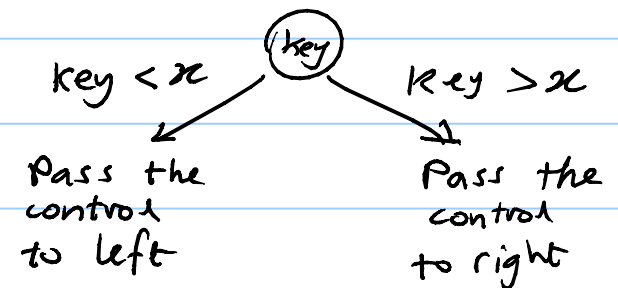
Yes  $\rightarrow$  stop: success

No:  $key < x \rightarrow$  Go left

$key > x \rightarrow$  Go right

leaf-node?  $\rightarrow$  stop: fail.

test if  $x = key$ ?



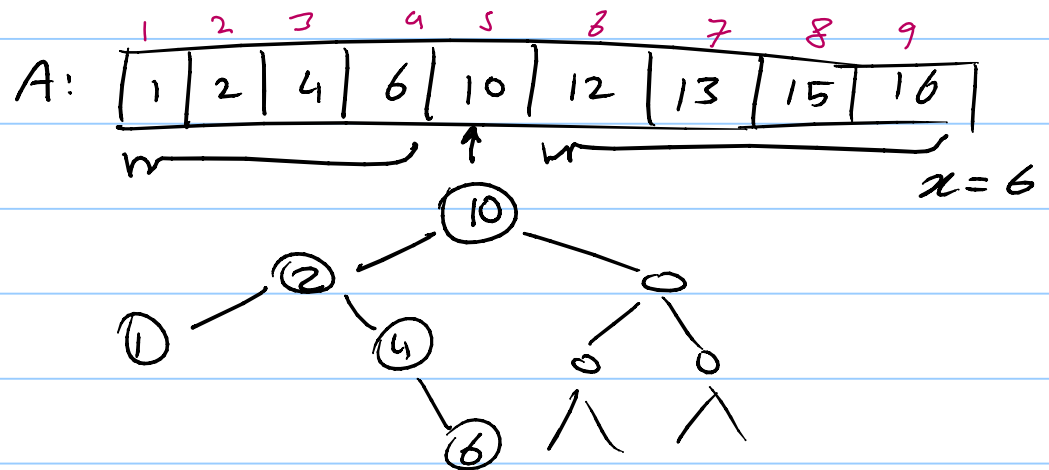
6] Case 1: non-sorted list

left and right children in T do the same: Test next-key  
 $\rightarrow \Omega(n)$

7]  $\therefore$  Algorithm: Linear Search is optimal (also efficient) for non-sorted list.

8] Case 2: sorted - list

left: search the left-half  
right: search the right-half



9] Notes: let  $T$  be the decision tree of  $(A, x)$

1)  $T$  has  $m$  nodes  $\Rightarrow m \geq n$

2) number of comparisons = height  $(T) + 1$   
= longest path from root  $(T)$  to a leaf.

3) height  $(T) \geq \lfloor \log n \rfloor$

10] Thm: Any algorithm searches a sorted list of  $n$  elements must do  $\lfloor \log n \rfloor + 1$  comparisons in the worst case.

11] The lower bound on searching a sorted list is  $\Omega(\log n)$

12] Alg- Binary search is optimal.

## Quiz 1:

#1.

$$P = 1 \cdot 2 \cdot 3 \cdot \dots \cdot (n+1) = (n+1)!$$

$$\Theta((n+1)!)$$

#2.

	<u>best</u>	<u>Worst</u>
Bin Search	$\Theta(1)$	$\Theta(\log n)$
insertion	$\Theta(n)$	$\Theta(n^2)$
Merge		$\Theta(n \log n)$
Q. S.	$\Theta(n \log n)$	$\Theta(n^2)$

- #3.
- a)  $O(n)$
  - b)  $O(1)$
  - c)  $O(n)$
  - d)  $O(n^2 \log n)$

Sorted  $n^2$

#4.

a)  $f > g$   $\Omega$

$n \log n \rightarrow n$   $\Omega$

$n < (\log n)!$   $O$

$n^2 \log n > k \log n$   $\Omega$

$k = \log n$

$k! = k \cdot (k-1) \cdot (k-2) \cdot \dots$

$\geq 2 \cdot 2 \cdot 2 \cdot 2$

$= 2^k = n$