

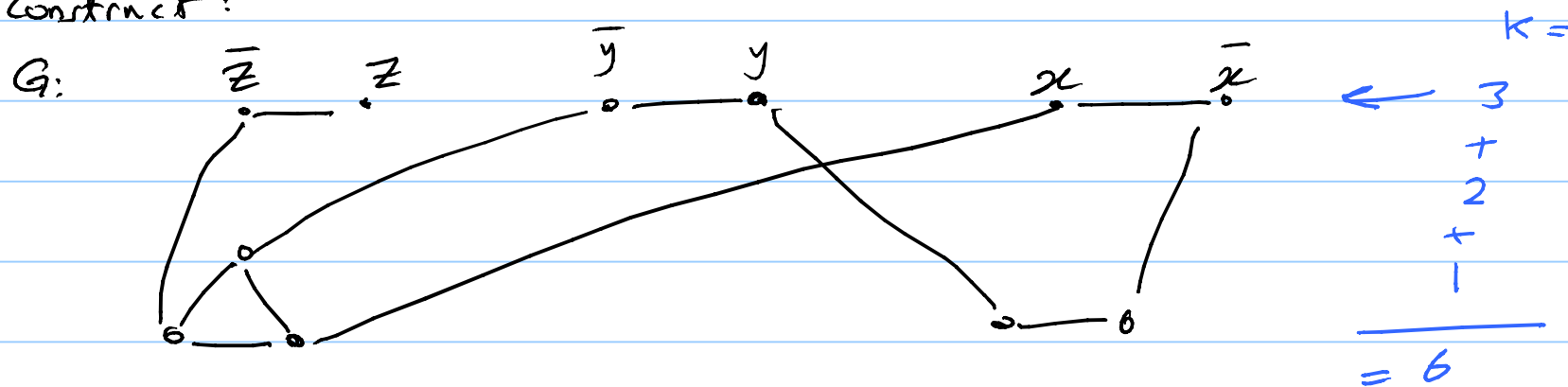
NP-Complete Problems

Note Title

9/15/2019

Recall: $SAT \propto_p VC$

Construct:



$$k = n + \sum_{j=1}^m (|C_j| - 1) ; \quad \text{where } C_j \text{ is the } j^{\text{th}} \text{ clause.}$$

$\therefore f$ is satisfiable iff G has VC of size k

1] Reduction: SAT α_p VC

Given an instance I of SAT: $f = \underline{C_1} \wedge C_2 \wedge \dots \wedge C_m$ with m clauses and n variables.

1. Construct $G = (V, E)$; $V = V_1 \cup V_2$

$V_1 = \{x_1, x_2, \dots\}$ of all $2n$ literals

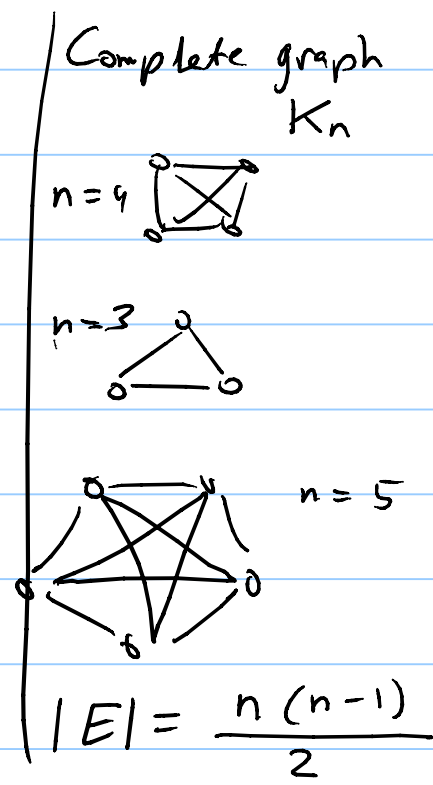
$V_2 = \bigcup_{i=1}^m \{v_1^i, v_2^i, \dots, v_{|C_i|}^i\} \Rightarrow |V| = 2n + \sum_{j=1}^m |C_j|$

$E = \{(x_i, \bar{x}_i) \mid i = 1 \dots n\} \cup \bigcup_{i=1}^m \{(v_j^i, v_k^i) \mid j \neq k\}$

$\cup \bigcup_{i=1}^m \{(x_j, v_k^i) \mid \text{for every vertex } v \in C_i\}$

$$\Rightarrow |E| = n + \sum_{i=1}^m \left(\frac{|C_i|(|C_i|-1)}{2} \right) + \sum_{i=1}^m |C_i|$$

2. Let $k = n + \sum_{i=1}^m (|C_i| - 1)$



2] Thm: $VC \in NP\text{-complete}$

Proof: ① $VC \in NP$

1. a solution can be guessed in $O(n)$ time
by choosing a subset S of V , with $|S| = k$
2. Then S can be verified in $O(n^2)$ as follows:
for all $(u, v) \in E$, check that $u \in S$ or $v \in S$

② Since $SAT \in NP\text{-C}$ and $SAT \leq_p VC$,
we have $VC \in NP\text{-C}$. \square

3) Clique \in NP-Complete

Proof: ① Clique \in NP

1. guess a subset $C \subseteq V$ in $O(n)$, with $|C|=r$
2. verify that $C = K_r$ i.e. is C a complete graph

for $i = 1$ to $(r-1)$

for $j = i+1$ to r

for $(i,j) \in C$ check $(i,j) \in E$

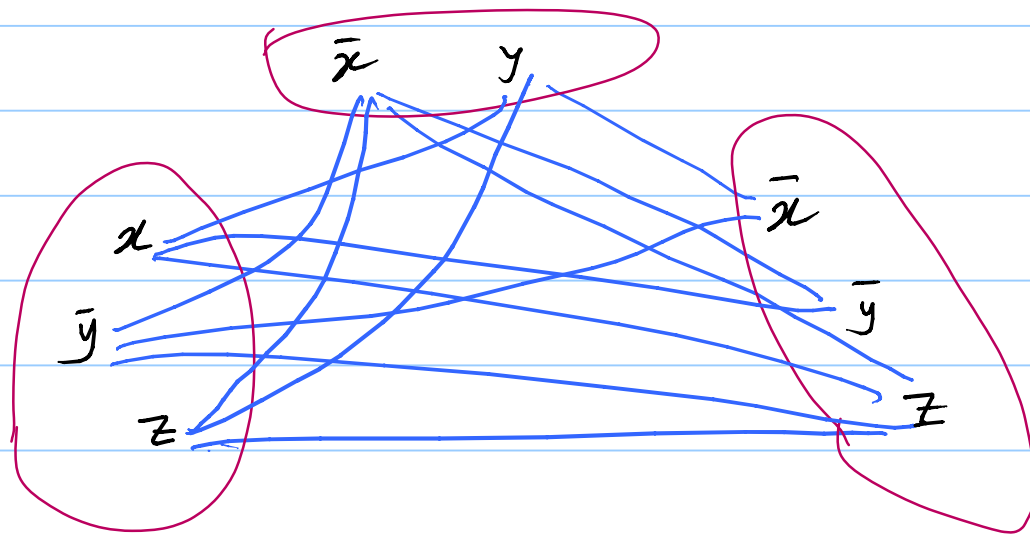
$\Rightarrow O(n^2)$

② Show that SAT \leq_p Clique.

See the idea below

4] SAT \propto Clique

idea: $f = (x \vee \bar{y} \vee z) \wedge (\bar{x} \vee y) \wedge (\bar{x} \vee \bar{y} \vee z)$



$(u, v) \in E$ iff $u \neq \bar{v}$
 u, v from different clauses

SAT(f) iff Clique (G, m)
where m is number of clauses

5] Reduction: $SAT \leq_p \text{Clique}$

Input: $f = C_1 \wedge C_2 \wedge \dots \wedge C_m$

output: $G=(V, E); k$

1. $V = \{x \mid x \text{ is a literal in } f\}$

2. $E = \{(u, v) \mid u \in C_i \text{ and } v \in C_j, i \neq j, u \neq \bar{v}\}$

3. $k = m$

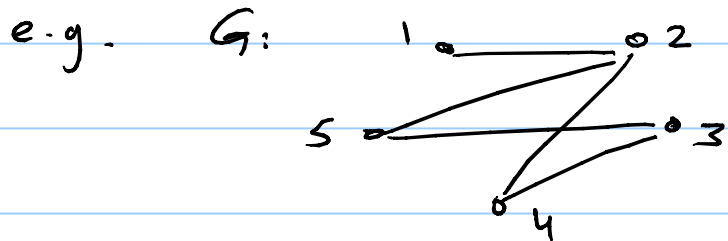
Then $SAT(f)$ iff $\text{Clique}(G, k)$

6] Independent Set (IS):

Given $G = (V, E)$, and int $k > 0$, is there

$S \subseteq V$ with $|S| = k$ s.t.

$\forall (u, v) \in S \times S, (u, v) \notin E$.

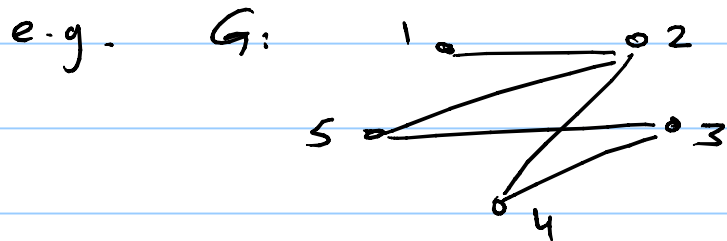


$$S_1 = \{1, 3\}$$

$$S_2 = \{1, 5, 4\}, k=3$$

7] $VC \propto_p IS$: the proof is straightforward.

Lemma: let $G=(V,E)$, then $S \subseteq V$ is IS iff
 $(V-S)$ is a VC in G

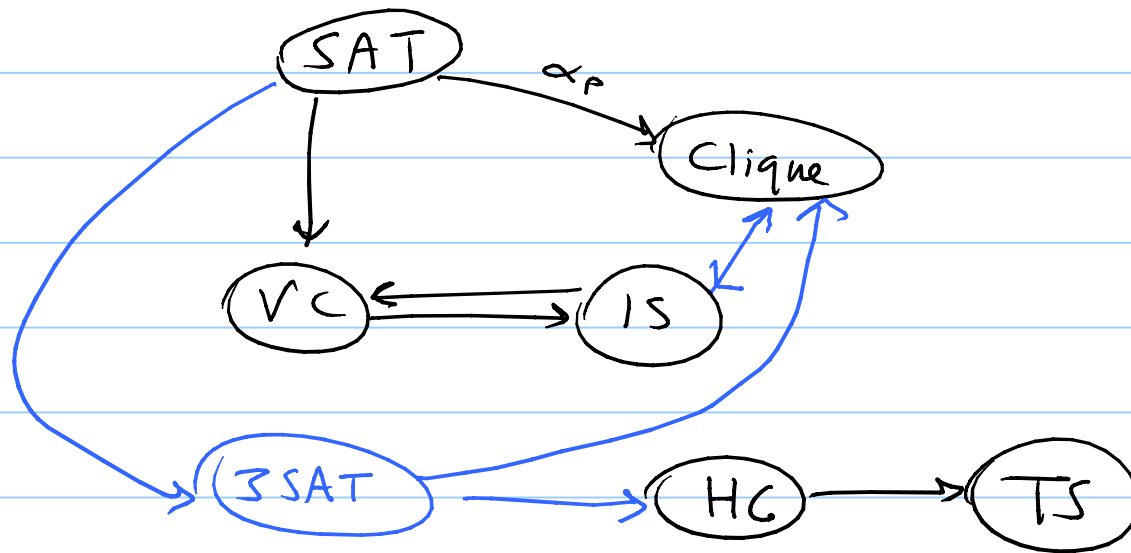


$$S_1 = \{1, 3\} \Rightarrow \{2, 5, 4\} \text{ is VC}$$

$$S_2 = \{1, 5, 4\}, k=3$$

$$\Rightarrow \{2, 3\} \text{ is VC}$$

8] NP-Complete Problems



§ 9.5. Class Co-NP

9/17/2019

Recall: Class NP

(The solution "witness" is verifiable in polynomial time)

9] Consider these two problems:

1) Unsat: Given a boolean formula f , is f unsatisfiable?

* is Unsat \in NP? for what witness?

2) Tautology: Given a boolean formula, f , is it a tautology?
i.e. if f true for all truth-assignments?

* is TAUT \in NP? for what witness?

10] Defⁿ. Class Co-NP: a set of all problems whose complement are in NP.

e.g. Unsatisfiable \in Co-NP

11] Complement of TS (\overline{TS}): Given G and k , is it true that there is no tour of length k or less ($\leq k$)?

12] Note: $\overline{TS} \in$ Co-NP, since $TS \in$ NP

13] Class Co-NP-Complete (Co-NPC)

a problem Π is Co-NPC if

1) $\Pi \in \text{Co-NP}$, and

2) $\forall \Pi' \in \text{Co-NP}, \Pi' \leq_p \Pi$

14] Thrm: $\Pi \in \text{NPC}$ iff its complement $\overline{\Pi} \in \text{Co-NPC}$

e.g. SAT \in NPC

\therefore Unsat \in Co-NPC

15] Thrm: f is unsatisfiable iff \overline{f} is a tautology

16] TAUT \in Co-NPC, proof from [7] and [8]

17] Note: $\text{Taut} \in P$ iff $\text{Co-NP} = P$

18] Note: $\text{Taut} \in \text{NP}$ iff $\text{Co-NP} = \text{NP}$

19] Thm: if π and $\bar{\pi} \in \text{NPC}$, then $\text{Co-NP} = \text{NP}$

20] Note: there are problems in NP and their complements are also in NP: (a.k.a NPI)

e.g. Is-prime? and Is-composite?

21] Relationship between Complexity Classes

(CLRS §34)

$$NPC \subseteq NP$$

$$P \subseteq NP$$

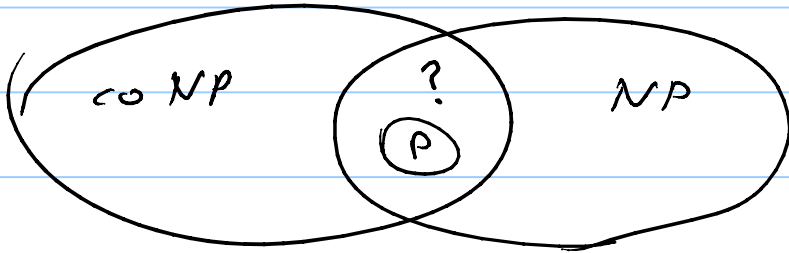
$$P \subseteq Co-NP$$

$$CoNPC \subseteq CoNP$$

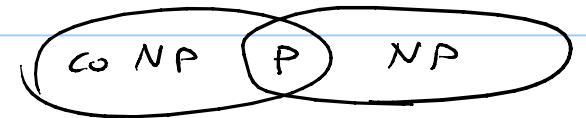
$$P \subseteq (NP \cap Co-NP) = NPI$$

4 Possibilities:

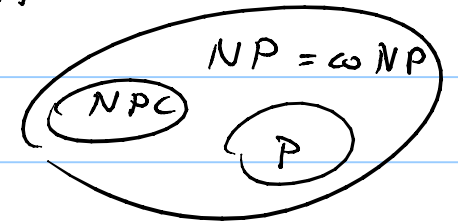
① $P \subset NP \cap CoNP$



② $P = NP \cap CoNP$



③ $NP = CoNP$



④ $P = NP = CoNP$



22] Circuit-SAT:

Given an instance I of an NP problem Π .

I can be hardwired into a circuit C decides I .

i.e. $C = \text{True}$ iff $I = \text{yes}$

23] Thm: Circuit-SAT \leq_p SAT

SAT: f

$\therefore \forall \Pi \in \text{NP}, \Pi \leq_p \text{SAT} \Rightarrow \text{SAT} \in \text{NP-hard}$

24] 3-CNF-SAT (3SAT)

3SAT: f is in a conjunctive Normal Form (CNF)

where each clause has exactly 3 literals

25] SAT \leq_p 3SAT

Reduction: SAT $f = C_1 \wedge C_2 \wedge \dots \wedge C_m$

① if $C_i = (x_1 \vee x_2)$ $\xrightarrow{\text{replace}}$ $(x_1 \vee x_2 \vee z_i)$
or $(x_1 \vee x_2 \vee \bar{z}_i) \wedge (x_1 \vee x_2 \vee \bar{z}_i)$

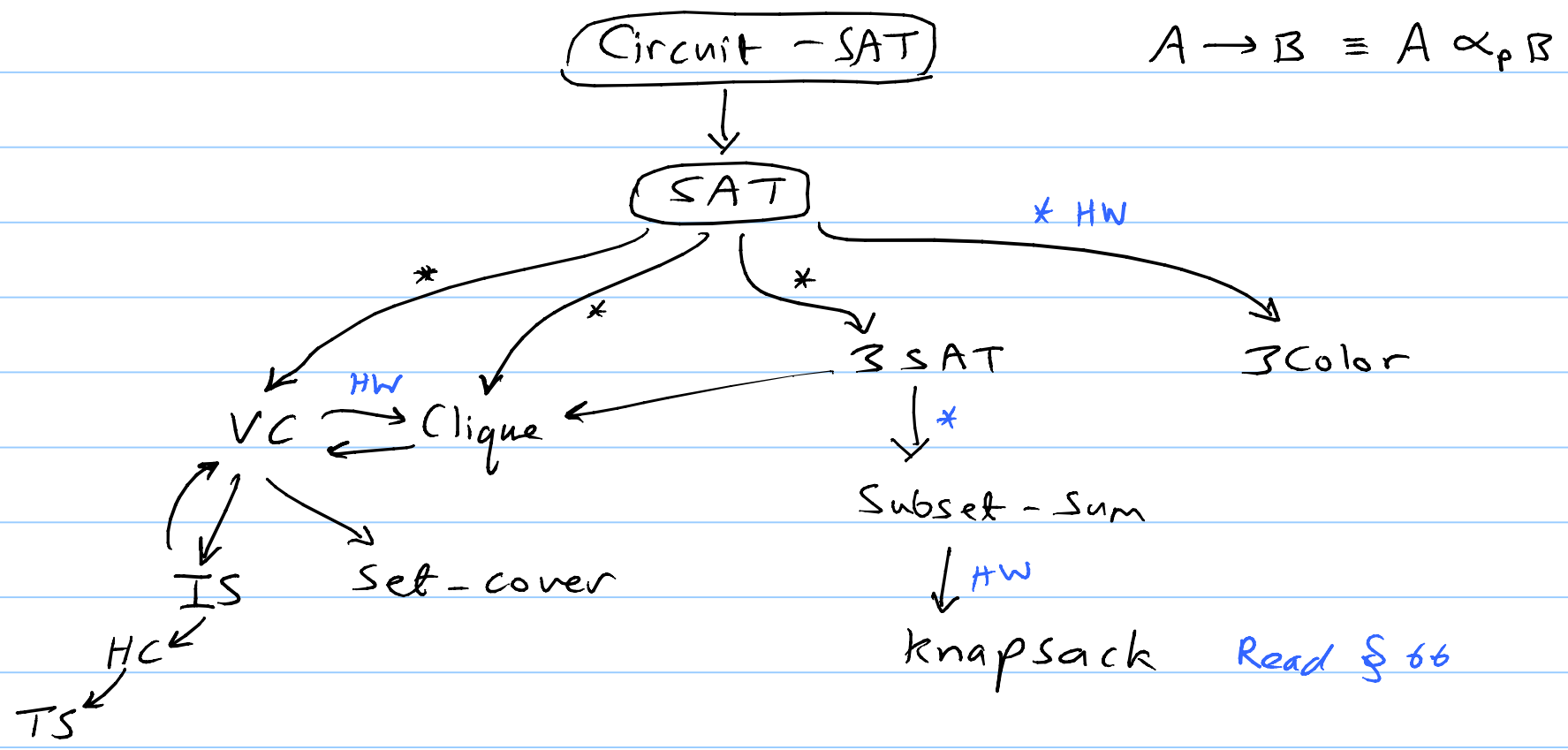
② if $C_i = (x_1)$ \longrightarrow $(x_1 \vee y_i \vee z_i) \wedge (x_1 \vee y_i \vee \bar{z}_i) \wedge \dots$

③ if $C_i = (x_1 \vee x_2 \vee x_3 \vee x_4 \vee \dots)$

$\longrightarrow (x_1 \vee x_2 \vee z_1) \wedge (\bar{z}_1 \vee x_3 \vee z_2) \wedge (\bar{z}_2 \vee x_4 \vee z_3) \dots$
 $\dots (\bar{z}_{k-1} \vee x_{n-1} \vee z_n)$

\Rightarrow 3SAT \in NPC.

26] Reduction of NP-Complete Problems:



27] Subset-Sum Problem (SS)

Given a set S of n positive integers, and t ,
is there a subset $S' \subseteq S$ s.t.

$$\sum_{x \in S'} x = t$$

e.g.,

$$S = \{1, 7, 12, 13, 20\}, \quad t = 39$$

$$\text{yes } S' = \{7, 12, 20\}$$